



INDIVIDUAL CABINET MEMBER AND OFFICER DELEGATED DECISIONS

FRIDAY, 5 APRIL 2024

Please find enclosed Decision Notices in connection with the following:

ICMD28 UK SHARED PROSPERITY FUND (UKSPF), GRANT AWARD: VISITOR ECONOMY, COMMUNITY WEALTH BUILDING AND CULTURE (Pages 2 - 11)

ICMD29 UK SHARED PROSPERITY FUND (UKSPF), GRANT AWARD: REGENERATION, SKILLS AND DIGITAL INNOVATION (Pages 12 - 21)

ICMD30 UK SHARED PROSPERITY FUND (UKSPF), GRANT AWARD: CLIMATE ACTION (Pages 22 - 31)

Please note that these are subject to call-in.

Queries regarding these documents

Please contact Liz Bateson, Democratic Support - email ebateson@lancaster.gov.uk.

Democratic Support,
Town Hall,
Dalton Square,
Lancaster,
LA1 1PJ

Published on FRIDAY, 5 APRIL 2024



Promoting City, Coast & Countryside

**EXECUTIVE DECISIONS TAKEN BY CABINET PORTFOLIO HOLDER OR DELEGATED OFFICER
NOTICE OF DECISION**

TITLE OF DECISION: UK SHARED PROSPERITY FUND (UKSPF), GRANT AWARD: VISITOR ECONOMY, COMMUNITY WEALTH BUILDING AND CULTURE			
NAME OF DECISION TAKER:	COUNCILLOR CATHERINE POTTER		
POSITION AND RESPONSIBILITY HELD:	CABINET MEMBER AND PORTFOLIO HOLDER; VISITOR ECONOMY, COMMUNITY WEALTH BUILDING & CULTURE		
CONTACT OFFICER:	MARK DAVIES, CHIEF EXECUTIVE		
TELEPHONE:	01524 582501		
E-MAIL:	UKSPF@lancaster.gov.uk		
Details of Decision:			
To delegate the Chief Executive to finalise and agree an award of UK Shared Prosperity Fund (UKSPF) funds in respect of Intervention E6 Arts, Culture and Heritage as set out in the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process, to deliver the project The Platform.			
Reasons for the decision:			
Lancaster City Council's UKSPF Investment Plan sets out its plan for delivering the Lancaster district's £5.3m UKSPF allocation from 2022-25. The Investment Plan was agreed by government in December 2022.			
Implementing the UKSPF programme requires the council to allocate funds to a range of projects which will deliver on the outcomes and outputs set out in the Investment Plan. This grant in respect of Intervention E6 Arts, Culture and Heritage will enable the project, The Platform, to commence delivery and begin creating positive local impact.			
IS THE DECISION URGENT			
No			
I confirm that I have taken account of the options proposed by officers, the various implications set out in the report and the comments of the Monitoring and Section 151 Officers and am authorising the decision as set out above.			
SIGNATURE OF DECISION TAKER:	Cllr Catherine Potter		
DATE:	5.4.24		
<i>THIS SECTION TO BE COMPLETED BY DEMOCRATIC SERVICES</i>			REF NO.
			ICMD28
DATE DECISION TAKEN:	4.4.24	DATE RECEIVED BY DEMOCRATIC SERVICES:	4.4.24
DATE DECISION PUBLISHED:	5.4.24	IMPLEMENTATION DATE (publication day + 5 working days):	15.4.24

CABINET

**UK Shared Prosperity Fund (UKSPF), Grant Award:
Visitor Economy, Community Wealth Building and Culture
Individual Cabinet Member Decision: Councillor
Catherine Potter**

Report of Chief Executive

PURPOSE OF REPORT				
To delegate to the Chief Executive the authority to finalise and agree UK Shared Prosperity Fund (UKSPF) awards in respect of Intervention, E6 Arts, Culture and Heritage, in line with the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process.				
Key Decision		Non-Key Decision	X	Referral from Cabinet Member
Date of notice of forthcoming key decision		n/a		
This report is public.				

RECOMMENDATIONS OF CHIEF EXECUTIVE

- (1) That the Chief Executive be delegated to finalise and agree UK Shared Prosperity Fund (UKSPF) awards in respect of Intervention *E6 Arts, Culture and Heritage*.

Funds will be awarded as set out in the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process to deliver the following project:

- The Platform

1.0 Introduction

- 1.1 In April 2022 the government announced the [prospectus for the UK Shared Prosperity Fund \(UKSPF\)](#), to be delivered by local authorities from 2022-25.
- 1.2 The Lancaster district was provisionally allocated £5.3m of UKSPF funding, to be administered by Lancaster City Council and subject to the submission of an Investment Plan detailing the council's proposals for delivering the funding in line with the requirements of the prospectus.

2.0 Investment Plan

- 2.1 Following a highly consultative development process during summer 2022, Cabinet at its meetings of [12 July 2022](#) and [26 July 2022](#) considered the council's Investment Plan proposals, which were subsequently finalised and submitted to meet the government's deadline of 1 August 2022.
- 2.2 The council received approval of its Investment Plan in December 2022,

followed by release of the initial tranche of funding for 2022-23.

3.0 Round 1, Round 2 and Capital Funding Awards

3.1 Grants have been awarded to projects to deliver the UKSPF programme, following Round 1, Round 2 and the Capital Funding application round in the first 2 years of the UKSPF programme (2022-2024).

4.0 Year 3 Awards

4.1 A final grant application window opened on Monday 15th January and closed on 5pm on Monday 5th February 2024. The grant application window was opened in order to commission projects to deliver specific interventions and to deliver the UKSPF programme in Year 3. Successful applicants will utilise the remaining funding across the programme.

4.2 The full list of Year 3 applications is included in the exempt appendix to this report.

5.0 Evaluation

5.1 Applications have been evaluated in line with HM Treasury's 'five cases' best practice approach to business case development (considering the strategic, economic, commercial, financial and management case for each project) as well as the Critical Success Factors agreed by Cabinet at its meeting of 12 July 2022:

- Climate Emergency
- Affordable
- Deliverable
- Impact
- Inclusive
- Collaborative
- Proven Track Record
- Scalable

5.2 As a number of applications involve awarding funding directly to Lancaster City Council, further independent and neutral evaluation has been commissioned via Growth Lancashire to support internal evaluation.

5.3 Following initial evaluation, informal discussions with Cabinet considered the proposed strategic approach and provisional recommendations for the Year 3 round of funding awards.

6.0 Proposal Details

6.1 It is proposed that applications be considered for a decision based on the following two outcomes:

- 1) *Award funding and begin implementation:* projects which clearly meet the criteria and can deliver positive impact against the programme's strategic outcomes and outputs
- 2) *Do not consider awarding funding:* projects which are less well aligned to the criteria or strategic fit

6.2 Specific to the relevant portfolio area of Visitor Economy, Community Wealth Building and Culture, the following project is proposed for a Year 3 funding award in respect of Intervention E6 as set out in the Investment Plan:

- The Platform

- 6.3 Should the recommendation in this report be approved, officers will initiate the process of finalising all relevant details and agree grant agreements for the projects to be delivered in accordance with the UKSPF programme’s guidance and requirements, and within the funding envelope defined by the council’s Investment Plan.
- 6.4 Some projects may contribute to the delivery of multiple UKSPF Interventions, and as such may draw on funds allocated to a number of Interventions set out in the Investment Plan.
- 6.5 Delivering the complex and wide-ranging UKSPF programme will require a degree of flexibility in managing the specific funding allocated to each of the three Priorities and thirteen Interventions contained in the Investment Plan. All allocations will be determined within the parameters of the UKSPF programme’s change control process.

7.0 Details of Consultation

- 7.1 Delivering a successful UKSPF programme from 2022-25 will be fundamentally dependent on a consultative, collaborative and inclusive approach. The council’s programme so far has been characterised by this principle, with wide-ranging consultation including:
- Focus groups and an open Expression of Interest process in summer 2022 to support development of the Investment Plan
 - The formation of a Local Partnership Group, in line with the UKSPF Prospectus, to advise and support the Investment Plan development process and subsequent activity in delivering the programme
- 7.2 The technical nature of project evaluation along with its ‘competitive’ element means that limited consultation is possible in considering funding awards for specific projects at this stage.
- 7.3 However, a consultative process has been taken with Cabinet members to ensure recommendations are in line with the desired strategic direction.

8.0 Options and Options Analysis (including risk assessment)

	Option 1: Award UKSPF funding in respect of the specified project(s)	Option 2: Do not award UKSPF funding for the specified project(s)
Advantages	Commence delivery of the UKSPF project with its associated benefits to the district.	Opportunity to consider other projects by not committing funding at this time.
Disadvantages	No specific disadvantages beyond demands on the organisation of delivering the programme.	Failure to deliver on the UKSPF programme.
Risks	Projects may require further development in finalising and agreeing details.	Failure of the council to fulfil its duty of delivering the UKSPF programme.

9.0 Officer Preferred Option (and comments)

- 9.1 The officer preferred option is option 1, to commence delivery of the UKSPF project.

10.0 Conclusion

- 10.1 A robust process has been conducted in the development of an approved Investment Plan, followed by the evaluation of project proposals.

- 10.2 This report sets out the proposed approach to award funding to projects that will contribute to the delivery of the UKSPF programme, and as such it is recommended that officers be delegated to finalise and agree funding awards.

RELATIONSHIP TO POLICY FRAMEWORK

The UKSPF Priorities demonstrate significant overlap with the strategic priorities of the Council Plan 2024-2027. As such, UKSPF projects and funding awards provide additional opportunity to advance the council's strategic priorities. This is reflected in the strategic design of the programme to meet the Council's goals.

CONCLUSION OF IMPACT ASSESSMENT

(including Health & Safety, Equality & Diversity, Human Rights, Community Safety, HR, Sustainability and Rural Proofing)

All UKSPF projects are required to be delivered in line with the Public Sector Equality Duty, with the relevant contractual obligations placed on those delivering projects as part of the conditions for receiving funding.

LEGAL IMPLICATIONS

Legal Services have been consulted throughout this process and will advise on any necessary legal agreements in relation to grant funding arrangements.

FINANCIAL IMPLICATIONS

There are no direct financial implications arising from this report. All awards to projects are funded by UKSPF money via central government. All funding awards for financial year 2024-2025 at this stage, are subject to receipt of funds from central government. Regarding the resources used to administer the fund, the award includes a 4% allowance from the core revenue budget from the UKSPF programme. A team has been established to address this whilst working within the financial boundaries set and any additional requirements are being managed from within existing budgets.

OTHER RESOURCE IMPLICATIONS

Human Resources:

N/A externally delivered project

Information Services:

N/A externally delivered project

Property:

N/A externally delivered project

Open Spaces:

[Click here for open spaces](#)

SECTION 151 OFFICER'S COMMENTS

The Section 151 Officer would request that both Members and Officers consider that the Council currently has a significant structural budget deficit and so funding initiatives will need to be (at a minimum) cost neutral to the Council or be subject to the usual arrangements surrounding for on-going support of items not included within the budget framework.

MONITORING OFFICER'S COMMENTS	
The Monitoring Officer has been consulted and has no further comments to add.	
BACKGROUND PAPERS	Contact Officer: Mark Davies, Chief Executive
N/A	Telephone: 01524 582501
	E-mail: UKSPF@lancaster.gov.uk

Document is Restricted



Promoting City, Coast & Countryside

**EXECUTIVE DECISIONS TAKEN BY CABINET PORTFOLIO HOLDER OR DELEGATED OFFICER
NOTICE OF DECISION**

TITLE OF DECISION: UK SHARED PROSPERITY FUND (UKSPF), GRANT AWARD: REGENERATION, SKILLS AND DIGITAL INNOVATION			
NAME OF DECISION TAKER:	COUNCILLOR NICK WILKINSON		
POSITION AND RESPONSIBILITY HELD:	CABINET MEMBER AND PORTFOLIO HOLDER; REGENERATION, SKILLS AND DIGITAL INNOVATION		
CONTACT OFFICER:	MARK DAVIES, CHIEF EXECUTIVE		
TELEPHONE:	01524 582501		
E-MAIL:	UKSPF@lancaster.gov.uk		
Details of Decision:			
<p>To delegate the Chief Executive to finalise and agree an award of UK Shared Prosperity Fund (UKSPF) funds in respect of Intervention E35 Enrichment and Volunteering, E33 Support for Economically Inactive, E39 Green Skills and E19 Research and Development as set out in the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process, to deliver the projects; Eco Catalyst, Rebuilding Lives and Re-engage NEET.</p>			
Reasons for the decision:			
<p>Lancaster City Council's UKSPF Investment Plan sets out its plan for delivering the Lancaster district's £5.3m UKSPF allocation from 2022-25. The Investment Plan was agreed by government in December 2022.</p> <p>Implementing the UKSPF programme requires the council to allocate funds to a range of projects which will deliver on the outcomes and outputs set out in the Investment Plan. The funding in respect of Interventions E35 Enrichment and Volunteering, E33 Support for Economically Inactive, E19 Research and Development and E39 Green Skills will enable the projects, Eco Catalyst, Rebuilding Lives, Re-engage NEET to commence delivery and begin creating positive local impact.</p>			
IS THE DECISION URGENT			
No			
<p>I confirm that I have taken account of the options proposed by officers, the various implications set out in the report and the comments of the Monitoring and Section 151 Officers and am authorising the decision as set out above.</p>			
SIGNATURE OF DECISION TAKER:	Cllr Nick Wilkinson		
DATE:	4.4.24		
<i>THIS SECTION TO BE COMPLETED BY DEMOCRATIC SERVICES</i>			REF NO.
			ICMD29
DATE DECISION TAKEN:	4.4.24	DATE RECEIVED BY DEMOCRATIC SERVICES:	5.4.24
DATE DECISION PUBLISHED:	5.4.24	IMPLEMENTATION DATE (publication day + 5 working days):	15.4.24

CABINET

**UK Shared Prosperity Fund (UKSPF), Grant Award:
Regeneration, Skills and Digital Innovation
Individual Cabinet Member Decision: Councillor Nick
Wilkinson**

Report of Chief Executive

PURPOSE OF REPORT				
To delegate to the Chief Executive the authority to finalise and agree UK Shared Prosperity Fund (UKSPF) awards in respect of Interventions, E35 Enrichment and Volunteering, E33 Support for Economically Inactive, E39 Green Skills and E19 Research and Development, in line with the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process.				
Key Decision	<input type="checkbox"/>	Non-Key Decision	<input checked="" type="checkbox"/>	Referral from Cabinet Member
Date of notice of forthcoming key decision	n/a			
This report is public.				

RECOMMENDATIONS OF CHIEF EXECUTIVE

- (1) That the Chief Executive be delegated to finalise and agree UK Shared Prosperity Fund (UKSPF) awards in respect of Interventions E35 Enrichment and Volunteering, E33 Support for Economically Inactive, E39 Green Skills and E19 Research and Development

Funds will be awarded as set out in the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process to deliver the following projects:

- Eco-Catalyst
- Re-building lives
- Re-engage NEET

1.0 Introduction

- 1.1 In April 2022 the government announced the [prospectus for the UK Shared Prosperity Fund \(UKSPF\)](#), to be delivered by local authorities from 2022-25.
- 1.2 The Lancaster district was provisionally allocated £5.3m of UKSPF funding, to be administered by Lancaster City Council and subject to the submission of an Investment Plan detailing the council's proposals for delivering the funding in line with the requirements of the prospectus.

2.0 Investment Plan

2.1 Following a highly consultative development process during summer 2022, Cabinet at its meetings of [12 July 2022](#) and [26 July 2022](#) considered the council's Investment Plan proposals, which were subsequently finalised and submitted to meet the government's deadline of 1 August 2022.

2.2 The council received approval of its Investment Plan in December 2022, followed by release of the initial tranche of funding for 2022-23.

3.0 Round 1, Round 2 and Capital Funding Awards

3.1 Grants have been awarded to projects to deliver the UKSPF programme, following Round 1, Round 2 and the Capital Funding application round in the first 2 years of the UKSPF programme (2022-2024).

4.0 Year 3 Awards

4.1 A final grant application window opened on Monday 15th January and closed on 5pm on Monday 5th February 2024. The grant application window was opened in order to commission projects to deliver specific interventions and to deliver the UKSPF programme in Year 3. Successful applicants will utilise the remaining funding across the programme.

4.2 The full list of Year 3 applications is included in the exempt appendix to this report.

5.0 Evaluation

5.1 Applications have been evaluated in line with HM Treasury's 'five cases' best practice approach to business case development (considering the strategic, economic, commercial, financial and management case for each project) as well as the Critical Success Factors agreed by Cabinet at its meeting of 12 July 2022:

- Climate Emergency
- Affordable
- Deliverable
- Impact
- Inclusive
- Collaborative
- Proven Track Record
- Scalable

5.2 As a number of applications involve awarding funding directly to Lancaster City Council, further independent and neutral evaluation has been commissioned via Growth Lancashire to support internal evaluation.

5.3 Following initial evaluation, informal discussions with Cabinet considered the proposed strategic approach and provisional recommendations for the Year 3 round of funding awards.

6.0 Proposal Details

6.1 It is proposed that applications be considered for a decision based on the following two outcomes:

- 1) *Award funding and begin implementation:* projects which clearly meet the criteria and can deliver positive impact against the programme's strategic outcomes and outputs
- 2) *Do not consider awarding funding:* projects which are less well aligned to

the criteria or strategic fit

6.2 Specific to the relevant portfolio area of Regeneration, Skills and Digital Innovation, the following projects are proposed for a Year 3 funding award in respect of Interventions E19, E33, E35 and E39 as set out in the Investment Plan:

- Eco-Catalyst
- Re-building lives
- Re-engage NEET

6.3 Should the recommendation in this report be approved, officers will initiate the process of finalising all relevant details and agree grant agreements for the projects to be delivered in accordance with the UKSPF programme’s guidance and requirements, and within the funding envelope defined by the council’s Investment Plan.

6.4 Some projects may contribute to the delivery of multiple UKSPF Interventions, and as such may draw on funds allocated to a number of Interventions set out in the Investment Plan.

6.5 Delivering the complex and wide-ranging UKSPF programme will require a degree of flexibility in managing the specific funding allocated to each of the three Priorities and thirteen Interventions contained in the Investment Plan. All allocations will be determined within the parameters of the UKSPF programme’s change control process.

7.0 Details of Consultation

7.1 Delivering a successful UKSPF programme from 2022-25 will be fundamentally dependent on a consultative, collaborative and inclusive approach. The council’s programme so far has been characterised by this principle, with wide-ranging consultation including:

- Focus groups and an open Expression of Interest process in summer 2022 to support development of the Investment Plan
- The formation of a Local Partnership Group, in line with the UKSPF Prospectus, to advise and support the Investment Plan development process and subsequent activity in delivering the programme

7.2 The technical nature of project evaluation along with its ‘competitive’ element means that limited consultation is possible in considering funding awards for specific projects at this stage.

7.3 However, a consultative process has been taken with Cabinet members to ensure recommendations are in line with the desired strategic direction.

8.0 Options and Options Analysis (including risk assessment)

	Option 1: Award UKSPF funding in respect of the specified project(s)	Option 2: Do not award UKSPF funding for the specified project(s)
Advantages	Commence delivery of the UKSPF project with its associated benefits to the district.	Opportunity to consider other projects by not committing funding at this time.
Disadvantages	No specific disadvantages beyond demands on the organisation of delivering the programme.	Failure to deliver on the UKSPF programme.

Risks	Projects may require further development in finalising and agreeing details.	Failure of the council to fulfil its duty of delivering the UKSPF programme.
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9.0 Officer Preferred Option (and comments)

9.1 The officer preferred option is option 1, to commence delivery of the UKSPF project.

10.0 Conclusion

10.1 A robust process has been conducted in the development of an approved Investment Plan, followed by the evaluation of project proposals.

10.2 This report sets out the proposed approach to award funding to projects that will contribute to the delivery of the UKSPF programme, and as such it is recommended that officers be delegated to finalise and agree funding awards.

RELATIONSHIP TO POLICY FRAMEWORK

The UKSPF Priorities demonstrate significant overlap with the strategic priorities of the Council Plan 2024-2027. As such, UKSPF projects and funding awards provide additional opportunity to advance the council’s strategic priorities. This is reflected in the strategic design of the programme to meet the Council’s goals.

CONCLUSION OF IMPACT ASSESSMENT

(including Health & Safety, Equality & Diversity, Human Rights, Community Safety, HR, Sustainability and Rural Proofing)

All UKSPF projects are required to be delivered in line with the Public Sector Equality Duty, with the relevant contractual obligations placed on those delivering projects as part of the conditions for receiving funding.

LEGAL IMPLICATIONS

Legal Services have been consulted throughout this process and will advise on any necessary legal agreements in relation to grant funding arrangements.

FINANCIAL IMPLICATIONS

There are no direct financial implications arising from this report. All awards to projects are funded by UKSPF money via central government. All funding awards for financial year 2024-2025 at this stage, are subject to receipt of funds from central government. Regarding the resources used to administer the fund, the award includes a 4% allowance from the core revenue budget from the UKSPF programme. A team has been established to address this whilst working within the financial boundaries set and any additional requirements are being managed from within existing budgets.

OTHER RESOURCE IMPLICATIONS

Human Resources:

N/A externally delivered project

Information Services:

N/A externally delivered project

Property:

N/A externally delivered project

Open Spaces:

[Click here for open spaces](#)

SECTION 151 OFFICER'S COMMENTS

The Section 151 Officer would request that both Members and Officers consider that the Council currently has a significant structural budget deficit and so funding initiatives will need to be (at a minimum) cost neutral to the Council or be subject to the usual arrangements surrounding for on-going support of items not included within the budget framework.

MONITORING OFFICER'S COMMENTS

The Monitoring Officer has been consulted and has no further comments to add.

BACKGROUND PAPERS

N/A

Contact Officer: Mark Davies, Chief Executive

Telephone: 01524 582501

E-mail: UKSPF@lancaster.gov.uk

Document is Restricted



Promoting City, Coast & Countryside

**EXECUTIVE DECISIONS TAKEN BY CABINET PORTFOLIO HOLDER OR DELEGATED OFFICER
NOTICE OF DECISION**

TITLE OF DECISION: UK SHARED PROSPERITY FUND (UKSPF), GRANT AWARD: CLIMATE ACTION			
NAME OF DECISION TAKER:		COUNCILLOR GINA DOWDING	
POSITION AND RESPONSIBILITY HELD:		CABINET MEMBER AND PORTFOLIO HOLDER; CLIMATE ACTION	
CONTACT OFFICER:		MARK DAVIES, CHIEF EXECUTIVE	
TELEPHONE:		01524 582501	
E-MAIL:		UKSPF@lancaster.gov.uk	
Details of Decision:			
<p>To delegate the Chief Executive to finalise and agree an award of UK Shared Prosperity Fund (UKSPF) funds in respect of Intervention E29 Decarbonisation as set out in the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process, to deliver the project; Climate Action Plan.</p>			
Reasons for the decision:			
<p>Lancaster City Council's UKSPF Investment Plan sets out its plan for delivering the Lancaster district's £5.3m UKSPF allocation from 2022-25. The Investment Plan was agreed by government in December 2022.</p> <p>Implementing the UKSPF programme requires the council to allocate funds to a range of projects which will deliver on the outcomes and outputs set out in the Investment Plan. This funding in respect of Intervention E29 Decarbonisation will enable the projects, Climate Action Plan, to commence delivery and begin creating positive local impact.</p>			
IS THE DECISION URGENT			
No			
<p>I confirm that I have taken account of the options proposed by officers, the various implications set out in the report and the comments of the Monitoring and Section 151 Officers and am authorising the decision as set out above.</p>			
SIGNATURE OF DECISION TAKER:		Cllr Gina Dowding	
DATE:		4.4.24	
<i>THIS SECTION TO BE COMPLETED BY DEMOCRATIC SERVICES</i>			REF NO.
			ICMD30
DATE DECISION TAKEN:	4.4.24	DATE RECEIVED BY DEMOCRATIC SERVICES:	5.4.24
DATE DECISION PUBLISHED:	5.4.24	IMPLEMENTATION DATE (publication day + 5 working days):	15.4.24

CABINET

**UK Shared Prosperity Fund (UKSPF), Grant Award:
Climate Action
Individual Cabinet Member Decision: Councillor Gina
Dowding**

Report of Chief Executive

PURPOSE OF REPORT				
To delegate to the Chief Executive the authority to finalise and agree UK Shared Prosperity Fund (UKSPF) awards in respect of Intervention, E29 Supporting Decarbonisation and improving the natural environment whilst growing the local economy, in line with the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process.				
Key Decision	<input type="checkbox"/>	Non-Key Decision	<input checked="" type="checkbox"/>	Referral from Cabinet Member
Date of notice of forthcoming key decision		n/a		
This report is public.				

RECOMMENDATIONS OF CHIEF EXECUTIVE

- (1) That the Chief Executive be delegated to finalise and agree UK Shared Prosperity Fund (UKSPF) awards in respect of Intervention *E29 Supporting Decarbonisation and improving the natural environment whilst growing the local economy*.

Funds will be awarded as set out in the council's UKSPF Investment Plan and within the parameters of the UKSPF change control process to deliver the following project:

- Lancaster District Climate Change Action Plan

1.0 Introduction

- 1.1 In April 2022 the government announced the [prospectus for the UK Shared Prosperity Fund \(UKSPF\)](#), to be delivered by local authorities from 2022-25.
- 1.2 The Lancaster district was provisionally allocated £5.3m of UKSPF funding, to be administered by Lancaster City Council and subject to the submission of an Investment Plan detailing the council's proposals for delivering the funding in line with the requirements of the prospectus.

2.0 Investment Plan

- 2.1 Following a highly consultative development process during summer 2022, Cabinet at its meetings of [12 July 2022](#) and [26 July 2022](#) considered the council's Investment Plan proposals, which were subsequently finalised and submitted to meet the government's deadline of 1 August 2022.

2.2 The council received approval of its Investment Plan in December 2022, followed by release of the initial tranche of funding for 2022-23.

3.0 Round 1, Round 2 and Capital Funding Awards

3.1 Grants have been awarded to projects to deliver the UKSPF programme, following Round 1, Round 2 and the Capital Funding application round in the first 2 years of the UKSPF programme (2022-2024).

4.0 Year 3 Awards

4.1 A final grant application window opened on Monday 15th January and closed on 5pm on Monday 5th February 2024. The grant application window was opened in order to commission projects to deliver specific interventions and to deliver the UKSPF programme in Year 3. Successful applicants will utilise the remaining funding across the programme.

4.2 The full list of Year 3 applications is included in the exempt appendix to this report.

5.0 Evaluation

5.1 Applications have been evaluated in line with HM Treasury's 'five cases' best practice approach to business case development (considering the strategic, economic, commercial, financial and management case for each project) as well as the Critical Success Factors agreed by Cabinet at its meeting of 12 July 2022:

- Climate Emergency
- Affordable
- Deliverable
- Impact
- Inclusive
- Collaborative
- Proven Track Record
- Scalable

5.2 As a number of applications involve awarding funding directly to Lancaster City Council, further independent and neutral evaluation has been commissioned via Growth Lancashire to support internal evaluation.

5.3 Following initial evaluation, informal discussions with Cabinet considered the proposed strategic approach and provisional recommendations for the Year 3 round of funding awards.

6.0 Proposal Details

6.1 It is proposed that applications be considered for a decision based on the following two outcomes:

- 1) *Award funding and begin implementation:* projects which clearly meet the criteria and can deliver positive impact against the programme's strategic outcomes and outputs
- 2) *Do not consider awarding funding:* projects which are less well aligned to the criteria or strategic fit

6.2 Specific to the relevant portfolio area of Climate Action, the following project is proposed for a Year 3 funding award in respect of Intervention E29 as set out in the Investment Plan:

- Lancaster District Climate Change Action Plan
- 6.3 Should the recommendation in this report be approved, officers will initiate the process of finalising all relevant details and agree grant agreements for the projects to be delivered in accordance with the UKSPF programme’s guidance and requirements, and within the funding envelope defined by the council’s Investment Plan.
- 6.4 Some projects may contribute to the delivery of multiple UKSPF Interventions, and as such may draw on funds allocated to a number of Interventions set out in the Investment Plan.
- 6.5 Delivering the complex and wide-ranging UKSPF programme will require a degree of flexibility in managing the specific funding allocated to each of the three Priorities and thirteen Interventions contained in the Investment Plan. All allocations will be determined within the parameters of the UKSPF programme’s change control process.

7.0 Details of Consultation

- 7.1 Delivering a successful UKSPF programme from 2022-25 will be fundamentally dependent on a consultative, collaborative and inclusive approach. The council’s programme so far has been characterised by this principle, with wide-ranging consultation including:
- Focus groups and an open Expression of Interest process in summer 2022 to support development of the Investment Plan
 - The formation of a Local Partnership Group, in line with the UKSPF Prospectus, to advise and support the Investment Plan development process and subsequent activity in delivering the programme
- 7.2 The technical nature of project evaluation along with its ‘competitive’ element means that limited consultation is possible in considering funding awards for specific projects at this stage.
- 7.3 However, a consultative process has been taken with Cabinet members to ensure recommendations are in line with the desired strategic direction.

8.0 Options and Options Analysis (including risk assessment)

	Option 1: Award UKSPF funding in respect of the specified project(s)	Option 2: Do not award UKSPF funding for the specified project(s)
Advantages	Commence delivery of the UKSPF project with its associated benefits to the district.	Opportunity to consider other projects by not committing funding at this time.
Disadvantages	No specific disadvantages beyond demands on the organisation of delivering the programme.	Failure to deliver on the UKSPF programme.
Risks	Projects may require further development in finalising and agreeing details.	Failure of the council to fulfil its duty of delivering the UKSPF programme.

9.0 Officer Preferred Option (and comments)

- 9.1 The officer preferred option is option 1, to commence delivery of the UKSPF project.

10.0 Conclusion

- 10.1 A robust process has been conducted in the development of an approved Investment Plan, followed by the evaluation of project proposals.

- 10.2 This report sets out the proposed approach to award funding to projects that will contribute to the delivery of the UKSPF programme, and as such it is recommended that officers be delegated to finalise and agree funding awards.

RELATIONSHIP TO POLICY FRAMEWORK

The UKSPF Priorities demonstrate significant overlap with the strategic priorities of the Council Plan 2024-2027. As such, UKSPF projects and funding awards provide additional opportunity to advance the council's strategic priorities. This is reflected in the strategic design of the programme to meet the Council's goals.

CONCLUSION OF IMPACT ASSESSMENT

(including Health & Safety, Equality & Diversity, Human Rights, Community Safety, HR, Sustainability and Rural Proofing)

All UKSPF projects are required to be delivered in line with the Public Sector Equality Duty, with the relevant contractual obligations placed on those delivering projects as part of the conditions for receiving funding.

LEGAL IMPLICATIONS

Legal Services have been consulted throughout this process and will advise on any necessary legal agreements in relation to grant funding arrangements.

FINANCIAL IMPLICATIONS

There are no direct financial implications arising from this report. All awards to projects are funded by UKSPF money via central government. All funding awards for financial year 2024-2025 at this stage, are subject to receipt of funds from central government. Regarding the resources used to administer the fund, the award includes a 4% allowance from the core revenue budget from the UKSPF programme. A team has been established to address this whilst working within the financial boundaries set and any additional requirements are being managed from within existing budgets.

OTHER RESOURCE IMPLICATIONS

Human Resources:

N/A externally delivered project

Information Services:

N/A externally delivered project

Property:

N/A externally delivered project

Open Spaces:

[Click here for open spaces](#)

SECTION 151 OFFICER'S COMMENTS

The Section 151 Officer would request that both Members and Officers consider that the Council currently has a significant structural budget deficit and so funding initiatives will need to be (at a minimum) cost neutral to the Council or be subject to the usual arrangements surrounding for on-going support of items not included within the budget framework.

MONITORING OFFICER'S COMMENTS	
The Monitoring Officer has been consulted and has no further comments to add.	
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